## JAPO Rec'd PCT/PTO 12 DEC 2003

Docket No.: 12810-00167-US1

(PATENT)

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of: Andreas Fechtenkötter et al.

Application No.: Not Yet Assigned (Based on PCT/EP2004/006165)

Confirmation No.: N/A

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Filed: Concurrently Herewith

Art Unit: N/A

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Examiner: Not Yet Assigned

#### **INFORMATION DISCLOSURE STATEMENT (IDS)**

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

Pursuant to 37 CFR 1.56, 1.97 and 1.98, the attention of the Patent and Trademark Office is hereby directed to the references listed on the attached PTO/SB/08. It is respectfully requested that the information be expressly considered during the prosecution of this application, and that the references be made of record therein and appear among the "References Cited" on any patent to issue therefrom.

This Information Disclosure Statement accompanies the new patent application submitted herewith.

Application No.: TBA (Based on PCT/EP2004/006165) Docket No.: 12810-00167-US1

A copy of only those references listed below is attached:

For. Doc No.	Ctry	Publication Date
0354521	EP	February 14, 1990
0813550	EP	November 24, 1999
2837017	DE	March 6, 1980
0008425	EP	July 7, 1982

# Other Documents International Search Report No. PCT/EP2004/006165, dated Sept. 29, 2004, 3 pages. BASF Aktiengesellschaft, No. 20020786, PF 54082. BASF Aktiengesellschaft, No. 20020832, PF 54246 DE. Chem.-Ing.-Tech. 66, No. 4, S., 1994, pgs. 510-513.

Ullamann's Encyclopeida of Industrial Chemistry, 5. Auflage, Stichwort, "Flocculants", Bd. A 11, S. 251, Verlag Chemie Weinheim, 1988, pgs. 251-261.

Ullmann's Encyclopedia of Industrial Chemistry, 5. Auflage, Stichworte, "Waxes", Bd. A28, S. 146ff, Verlag Chemie Weinheim, Basel, Cambridge, New Yor, Tokio, 1996, pgs. 146-162.

In accordance with 37 CFR 1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material information as defined in 37 CFR 1.56(a) exists. In accordance with 37 CFR 1.97(h), the filing of this Information Disclosure statement shall not be construed to be an admission that any patent, publication or other information referred to therein is "prior art" for this invention unless specifically designated as such.

It is submitted that the Information Disclosure Statement is in compliance with 37 CFR 1.98 and the Examiner is respectfully requested to consider the listed references.

### 10/560363 IAP9 Rec'd PCT/PTO 12 DEC 2009

Application No.: TBA (Based on PCT/EP2004/006165)

Docket No.: 12810-00167-US1

The Director is hereby authorized to charge any deficiency in the fees filed, asserted to be filed or which should have been filed herewith (or with any paper hereafter filed in this application by this firm) to our Deposit Account No. 03-2775, under Order No. 12810-00167-US1. A duplicate copy of this paper is enclosed.

Dated:

12-12-05

Respectfully submitted,

Joseph Barrera, Reg. No. 44,522

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PTO/SB/08a/b (07-05)
Approved for use through 07/31/2006. OMB 0651-0031
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12810-00167-US1

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number. Complete if Known Substitute for form 1449A/B/PTO Application Number TBA (Based on PCT/EP2004/006165) INFORMATION DISCLOSURE Filing Date Concurrently Herewith STATEMENT BY APPLICANT First Named Inventor Andreas Fechtenkötter et al. N/A Art Unit (Use as many she ets as necessary) Examiner Name Not Yet Assigned

Attomey Docket Number

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No.1	Document Number  Number-Kind Code <sup>2</sup> ( if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	AA*	US-1,113,409	05-15-1968	Wulf Von Bonin et al.	
	AB*	US-5,907,022	05-25-1999	Stigter et al.	

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FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No.1	Foreign Patent Document  Country Code³-Number⁴-Kind Code⁵ (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
	BA	EP-0354521	02-14-1990			
	BB	EP-0813550	11-24-1999			
	BC	DE-2837017	03-06-1980			
	BD	EP-0008425	07-07-1982			

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. \* CITE NO.: Those application(s) which are marked with an single asterisk (\*) next to the Cite No. are not supplied (under 37 CFR 1.98(a)(2)(iii)) because that application was filed after June 30, 2003 or is available in the IFW. ¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at <a href="https://www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

NON PATENT LITERATURE DOCUMENTS					
Examiner Initials Cite No.1 Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.					
	CA	International Search Report No. PCT/EP2004/006165, dated Sept. 29, 2004, 3 pages.	<u> </u>		
	СВ	BASF Aktiengesellschaft, No. 20020786, PF 54082.			
	CC	BASF Aktiengesellschaft, No. 20020832, PF 54246 DE.			
	CD	ChemIngTech. 66, No. 4, S., 1994, pgs. 510-513.			
	CE	Ullamann's Encyclopeida of Industrial Chemistry, 5. Auflage, Stichwort, "Flocculants", Bd. A 11, S. 251, Verlag Chemie Weinheim, 1988, pgs. 251-261.			
	CF	Ullmann's Encyclopedia of Industrial Chemistry, 5. Auflage, Stichworte, "Waxes", Bd. A28, S. 146ff, Verlag Chemie Weinheim, Basel, Cambridge, New Yor, Tokio, 1996, pgs. 146-162.			

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Examiner	Date	
Signature	Considered	

<sup>&</sup>lt;sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>Applicant is to place a check mark here if English language Translation is attached.